SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Rajgopal Raj						2. Issuer Name and Ticker or Trading Symbol Vuzix Corp [ VUZI ]									<ul> <li>5. Relationship of Reporting Person(s) t</li> <li>Check all applicable)</li> <li>X Director</li> </ul>			s) to Issuer 10% Ov	vner
(Last)	(First)	, , , ,				3. Date of Earliest Transaction (Month/Day/Year) 12/14/2022									Officer (give title below)			Other (specify below)	
25 HENDRIX ROAD, SUITE A					4. lf A	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) WEST HENRIETTA	EST NY 14586														X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zi	p)																
		Та	ble I - No	n-Der	ivativ	e Se	curitie	s Acq	uired, I	Disp	osed of,	, or E	Benefi	cially Ow	ned				
Date					(Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)					curities Acquired (A) or osed Of (D) (Instr. 3, 4 ar			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(1150.4)
Common Stock 12/1					/14/2022				Р		6,565	5	Α	\$4.0899	43,788			D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate,	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercis Expiration Date (Month/Day/Ye		e Sec ar) Der		7. Title and Amoun Securities Underly Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction	e s illy g	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code		(A)	(JI)	Date		Expiration			Amount or Number of Shares		(Instr. 4)			

Explanation of Responses:

<u>/s/ Raj Rajgopal</u>

\*\* Signature of Reporting Person

<u>12/16/2022</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.